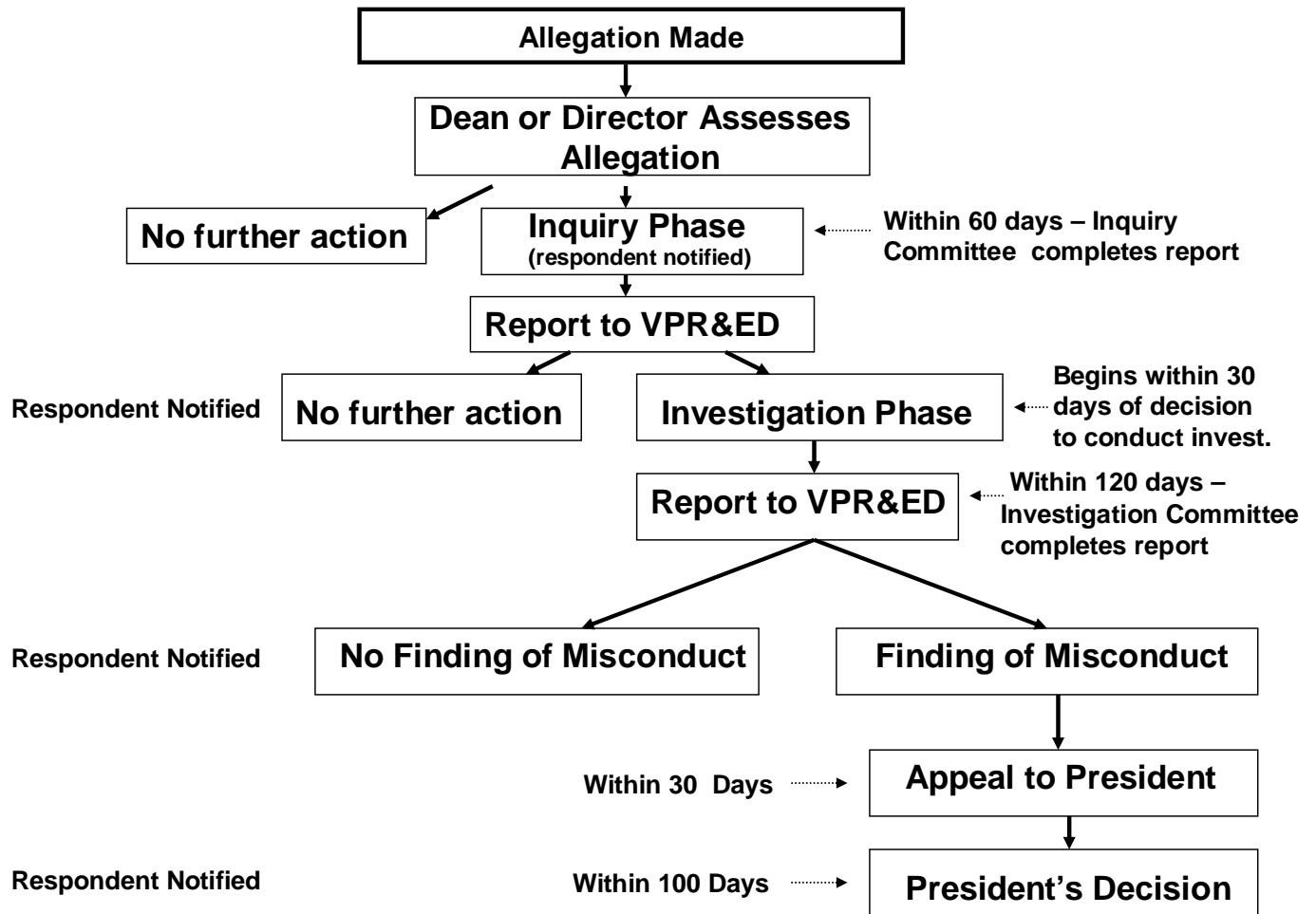


EXHIBIT

Timeline for research misconduct proceedings

The following figure illustrates the timeline for a research misconduct proceeding under this policy, except that, in a proceeding that involves Federal support and research misconduct as defined by the funding agency, the university complies with any stricter requirements prescribed by the funding agency. The legend following the figure states the time requirements for each step in the process (included elsewhere in the policy) in narrative form. Any apparent inconsistency between the figure or the figure legend and the text of the policy should be resolved in favor of the latter.



Legend to Figure

The assessment of the allegation to determine whether an inquiry is warranted is made by the respondent's Dean or Institute Director.

If at any point in a research misconduct proceeding the Vice President or the respondent's Dean or Institute Director believes that the allegation was not made in good faith, that official refers the matter for appropriate handling under existing university procedures.

1. Within 14 days of receiving an allegation of research misconduct, the respondent's Dean or Institute Director assesses the allegation to determine if an inquiry is warranted and notifies the Vice President and the Provost of his or her determination.
2. Within 14 days of receiving a determination that an inquiry is warranted, the Provost appoints an inquiry committee and a chair of that committee, and the Provost makes a good faith effort to provide notice to the presumed respondent, if any.

3. The respondent may challenge a member of the inquiry committee on the basis of conflict of interest or bias by submitting the challenge in writing to the Provost within five days of receiving the notification.
4. The inquiry committee completes the inquiry, including the preparation of a final inquiry report that includes any comments received from the respondent, within 60 days of the committee's first meeting unless the Dean or Director determines, and documents in the inquiry record, that the circumstances warrant a longer period.
5. Within 14 days of receiving the inquiry report, the Dean or Director determines whether to conduct an investigation, provides notice to the respondent of this determination, provides the respondent a copy of the inquiry report and this policy, acts on the other recommendations of the inquiry committee, and notifies the Vice President of the determination.
6. The Vice President provides notice of the commencement of the investigation to the respondent within seven days after the Dean or Director determines that an investigation is warranted and before the investigation begins.
7. Within 30 days after the Dean or Director determines that an investigation is warranted, the Vice President begins the investigation by convening the first meeting of an investigation committee.
8. The respondent may challenge a member of the investigation committee on the basis of conflict of interest or bias by submitting the challenge in writing to the Provost within five days of receiving the notification.
9. If the investigation discloses any allegation against the respondent not addressed during the inquiry or in the initial notice of the investigation or any allegation against an additional respondent, the committee reports the allegation to the Vice President, who refers it to the respondent's Dean or Director for a preliminary assessment and other appropriate steps.
10. The committee notifies the respondent at least 14 days in advance of the scheduling of his or her interview and any interview he or she is entitled to attend so that the respondent may prepare for the interview and arrange for the attendance of legal counsel or another authorized representative, if the respondent wishes.
11. The investigation committee gives the respondent a copy of the draft investigation report, and, concurrently, a copy of, or supervised access to, the evidence on which the report is based, and provides notice to the respondent of his or her opportunity to provide written comments on the draft report within 30 days of the date on which he or she received it.
12. The committee uses its best efforts to complete the investigation within 120 days of the date on which it began.
13. Upon receiving the final investigation report, the Vice President reviews the report and makes a determination on behalf of the university as to whether research misconduct occurred and, if so, by whom, and whether the university accepts the findings of the investigation. The Vice President recommends to the Provost what administrative actions, if any, the university should take against the respondent.
14. The Vice President provides a copy of the final investigation report and the university's decision to the respondent. If the decision is that the respondent committed research misconduct, the Vice President provides notice to the respondent that he or she may appeal the decision by filing a request for reversal or modification of the decision and grounds for that request with the President within 30 days of receiving the university's decision.
15. The President generally issues a written decision on the appeal, including the reasons for the decision, within 100 days of the date the appeal is filed.